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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/612,009

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Rieko Fukushima

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FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER  
LLP

901 NEW YORK AVENUE, NW  
WASHINGTON, DC 20001-4413

EXAMINER

HAJNIK, DANIEL F

ART UNIT

PAPER NUMBER

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/612,009	<b>Applicant(s)</b> FUKUSHIMA ET AL.	
	<b>Examiner</b> DANIEL F. HAJNIK	<b>Art Unit</b> 2628	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 14 September 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,2,4,5,7-10,16 and 17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,4,5,7-10,16 and 17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 02 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 2, 5, and 7-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Olympus (Japanese Publication Number 06-070267, pub Mar 11, 1994) in view of Petrich (US Pub 2006/0280368 A1).

As per claim 1, Olympus teaches the claimed:

1. A three-dimensional image display method (*in figure 2 and in the English translation of the abstract, where it states that the device produces image data to be displayed*) comprising:

“detecting directions of incident light emitted” and “at a plurality of detectors” (*in figure 3, the photodetectors 11, and [0008] of the English Translation, where two or more photodetectors analyze and determine the direction of lighting and [0008] of the English Translation where it states the photodetectors are used to detect the direction of indoor lighting, thus the system in a room indoors produces a shadow because the system can detect the light, i.e. in figures 4-6*);

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“comparing each of the positions of the light source and a virtual position of a display object in a three dimensional image displayed in real space to obtain a shadow for applying to the display object from directions of the light sources”; ([0006] and [0008] of the English Translation which teach of generating the image in figure 2b according to the detected light direction and shading their respective synthetic image according to the detected lighting direction so that the synthetic image generated is in agreement with the detected light direction);

displaying the three-dimensional image with the shadow (in figure 2 and in the English translation of paragraph [0006]).

Olympus does not explicitly teach the remaining claim limitations.

Petrich teaches the claimed:

calculating positions of the plurality of light sources existing in real space based on the detected directions ([0004], “The spherical dome center of the target device provides a visual reference indicator as to direction of one or more light sources in much the same way the position of the sun may be ascertained from viewing the Earth's moon” and [0036], “Mathematical algorithms may also be used in place of a know table of values to calculate the position of light”).

In addition, Olympus does not teach the claimed:

“a plurality of light sources” and

“the shadow being caused by the light sources”.

Petrich teaches the claimed:

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“a plurality of light sources” and “the shadow being caused by the light sources” ([0004], “*The spherical dome center of the target device provides a visual reference indicator as to direction of one or more light sources in much the same way the position of the sun may be ascertained from viewing the Earth's moon*”, [0005], “to determine lighting and shadow conditions”, and [0003] “so that each processed photograph may be merged with other processed photographs or three-dimensional computer rendered images having similar attribute values to form a realistic montage”).

It would have been obvious to one of ordinary skill in the art at the time of invention to combine Olympus with Petrich in order to obtain more accurate information relating to the light sources such as a light position in addition to the light direction. Olympus is modified by Petrich by incorporating the position calculation technique as mentioned in [0036] of Petrich into the shading processing unit 13 in figure 1 of Olympus. Furthermore, Olympus would perform correctly when used with the plurality of light sources of Petrich. For example, if two light sources, two light bulbs, from the same indoor light fixture are used, the system of Olympus would correctly shadow the object because the two light sources are located near each other in the room.

As per claim 2, Olympus does not explicitly teach the claimed limitations.

Petrich teaches the claimed:

2. The method according to claim 1, further comprising:

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detecting lightness of the light sources at the detectors ([0005], “Another aspect of the present invention is a process of digitally analyzing photographic images with specialized software to determine lighting and shadow conditions such as a vertical and horizontal direction of light sources in relation to a target device, degree of light diffusion, degree of ambient illumination, and light color”).

It would have been obvious to one of ordinary skill in the art at the time of invention to detect lightness for a plurality of sources as taught by Petrich with the teachings of Olympus in order to gather more accurate information relating to the surround environment, which in turn, the data is used to produce more realistic synthetic images.

As per claim 5, this claim is similar in scope to claim 1, and thus is rejected under the same rationale.

As per claim 7, Olympus teaches the claimed:

7. The device according to claim 5, further comprising: a display surface configured to display the three-dimensional image, wherein: the direction detectors are disposed on at least one of the display surface and a surface adjacent to the display surface. *(in figure 1 where the detectors 11 are both on a display surface and adjacent to the display surface where these detectors are part of the head mount display where the display surface is the portion that covers the eyes of a user when wearing the device).*

As per claim 8, Olympus teaches the claimed:

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8. The device according to claim 5, further comprising: a display surface configured to display the three-dimensional image, wherein: the direction detectors are disposed to be adjacent to the display surface (*in figure 1 where the detectors 11 are adjacent to the display surface which is the part of the head mounted display where the display surface is the portion that covers the eyes of a user when wearing the device*).

As per claim 9, Olympus teaches the claimed:

9. The device according to claim 5, wherein the direction detectors are disposed at a position where the direction detectors detect the light emitted from the light sources located in the same direction as at least one of a display direction of the three dimensional image and a direction in which the three-dimensional image is observed (*in figure 1 where it shows detectors 11 are disposed at a direction the same as a display direction of the head-mounted display in relation to the user*).

As per claim 10, Olympus does not explicitly teach the claimed limitations.

Petrich teaches the claimed:

10. The device according to claim 5, wherein: each of the direction detectors includes a three-primary colors detection unit that adds colors to the shade. ([0030], "other methods of detecting said target devices within a digital image include the use of: HSL, HSV, LAB, RGB and other digital image color spaces" where RGB is a red, green, and blue color sequence).

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It would have been obvious to one of ordinary skill in the art to use the color detectors as taught by Petrich with Olympus in order to more accurately capture color data for analysis which are used to produce better synthetic images.

3. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Olympus (Japanese Publication Number 06-070267, pub Mar 11, 1994) in view of Petrich (US Pub 2006/0280368 A1) in view of Drettakis et al. (NPL Document "Interactive Common Illumination for Computer Augmented Reality", herein referred to as "Drettakis").

As per claim 4, Olympus does not explicitly teach the claimed limitations.

Drettakis teaches the claimed:

obtaining a position of a single virtual light source, which represents the plurality of light sources; *(at the top of the page where section 6.3 starts, "In addition, special attention must be taken in the re-scaling of the image before display since the addition of a source can add an order (or orders) of magnitude to the radiosity values of the scene". Here, the accumulation of radiosity represents a single virtual light source. The reference refers to "adding" light onto the total radiosity for each light source. When every light source is considered, one can represent this radiosity as a single virtual light source through the addition of all individual light sources)*

comparing the position of the virtual light source and the virtual position of the display object in the three-dimensional image to obtain a virtual shadow for applying to the display object from a direction of the single virtual light source, the virtual shadow being caused by the single virtual



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*light source (in figures 3 and 4. Figure 4 shows the result of the comparison between the virtual light source (as calculated as the accumulated radiosity in figure 3) and the virtual position of the display object (the floating box above the desk). The comparison of the positions and relative positional relationship result in the rendering of a soft and varying shadow on the desk below the floating object. The varying nature of this shadow is due to the plurality of light directional components and is represented by the virtual light source).*

It would have been obvious to one of ordinary skill in the art at the time of invention to combine Olympus, Petrich, and Drettakis. Drettakis teaches one advantage of the combination (in the abstract, “Our new framework will hopefully lead to CAR systems with interactive common illumination without restrictions on the movement of real or synthetic objects, lights and cameras” where the combining of light sources in Drettakis helps accomplish this stated goal by making it easier to do interactive illumination without restrictions). Olympus and Drettakis is modified by Drettakis by incorporating the radiosity values and virtual light source of Drettakis into the shading calculations as performed in the shading processing unit 13 in figure 1 of Olympus.

Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over Olympus (Japanese Publication Number 06-070267, pub Mar 11, 1994) in view of Aubrey (US Patent 6,614,427).

As per claim 16, Olympus teaches the claimed:

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detecting a relative position of a light source existing in real space (*in figure 4 where the relative position of the light source is determined by the shadow detected*) based on a display surface (*in figure 2B where the display output is shown and in figure 1 where the display surface is the visor 11*);

comparing the relative position of the light source and a relative position of a three-dimensional image displayed in real space based on the display surface and

to obtain a relative positional relation therebetween (*[0006] and [0008] of the English Translation which teach of generating the image in figure 2b according to the detected light direction and shading their respective synthetic image according to the detected lighting direction. The correct shadowing in figure 2B requires the knowledge of the relative positional relationship between the light source and display image*);

Olympus does not explicitly teach the remaining claim limitations.

Aubrey teaches the claimed:

a display surface as a reference plane (*col 5, lines 44-52, "The viewing situation described above is appropriate for images of modest size. Other stereoscopic viewing techniques may be employed, including: ... any of several stereoscopic CRT techniques, including alternating field and lenticular autostereoscopy; holography; and lenticular stereography". In this instance, the display surface is CRT display. The CRT display surface acts a reference plane*).

shading the three-dimensional image, wherein the three-dimensional image is projected in real space such that the three-dimensional image spatially spreads out in real space (*in figure 7 where the cup is a three-dimension image projected in real space from a plane, the floor and col 5,*

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*lines 44-52, "The viewing situation described above is appropriate for images of modest size.*

*Other stereoscopic viewing techniques may be employed, including: ... any of several stereoscopic CRT techniques, including alternating field and lenticular autostereoscopy; holography; and lenticular stereography").*

It would have been obvious to one of ordinary skill in the art at the time of invention to combine Olympus and Aubrey in order to generate a more interesting and useful display output. The output of Aubrey provides a more impressive three-dimensional display. Olympus is modified by Aubrey by replacing the head mounted display (and its associated reference plane) of Olympus with the holographic-like display used in Aubrey in figure 7. In this instance, the reference plane of Aubrey (the floor in figure 7) would replace the reference plane in the head mounted display in Olympus.

Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Olympus (Japanese Publication Number 06-070267, pub Mar 11, 1994) in view of Petrich (US Pub 2006/0280368 A1) in further view of Aubrey (US Patent 6,614,427).

As per claim 17, this claim is similar in scope to claim 16, and thus is rejected under the same rationale.

It would have been obvious to one of ordinary skill in the art at the time of invention to combine Olympus, Petrich, and Aubrey. The motivation of claim 16 is incorporated herein.

### ***Response to Arguments***

1. Applicant's arguments filed 2/15/2008 have been fully considered but they are not persuasive.

Applicant argues:

The Office Action asserts that because Olympus discloses indoor lighting, this indoor lighting may include a hypothetical room with two lights. This creation by the Examiner of a hypothetical two-light room is clear evidence that Olympus does not disclose a plurality of light sources ... Thus, Olympus appears to disclose detecting a single direction of a light source, rather than a "plurality of light sources," as required by claims 1 and 5. Accordingly, the Office Action fails to meet the requisite burden of proof to establish disclosure or suggestion of at least this claimed feature (page 8 in filed response).

The examiner respectfully maintains that the rejections are proper because the prior art combination of both Olympus and Petrich teach the claimed features as argued by applicant.

For example, the reference of Olympus says nothing of limiting its application to only 1 light source. In addition, Petrich teaches of using a plurality of light sources in paragraph [0004], "The spherical dome center of the target device provides a visual reference indicator as to direction of one or more light sources in much the same way the position of the sun may be ascertained from viewing the Earth's moon". Through the combination as presented in claim 1 of this office action, the combination of Olympus and Petrich teach the claimed features. For example, Olympus teaches of performing shadow rendering on virtual objects based upon detect light from a single direction (see figures 1 and 2). Petrich teaches of detecting light positions

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from a plurality of light sources (see paragraphs [0004] and [0036]). By combining these two references, Olympus can perform the shadow rendering using a plurality of light sources.

In addition, one of ordinary skill in the art would expect a reasonable expectation of success through the combination of references. The photo-sensors in figure 4-6 of Olympus will perform equally well with 1 light source or 2 light sources located near each other, i.e. two bulbs on the same indoor light fixture. In this case, the 2 light sources of the lighting may originate from similar directions, and thus the shading computations performed in Olympus would perform equally well with only 1 light source or 2 light sources near each other. In addition, since the light sources are in similar directions the light sensor and shading calculations would be correct in Olympus. Thus, claim 1 of applicant's invention appears not to be novel because it appears to be an obvious variation of the system of Olympus.

Applicant's remaining arguments have also been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after

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the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Daniel F. Hajnik whose telephone number is (571) 272-7642. The examiner can normally be reached on Mon-Fri (8:30A-5:00P).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ulka J. Chauhan can be reached on (571) 272-7782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ulka Chauhan/  
Supervisory Patent Examiner, Art Unit 2628

DFH